

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
 Date: 2-31-05 Name: Richard G. Lione, Reg. No. 19,795 Signature: [Signature]

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yasuyoshi Ueda et al.

Appln. No.: 10/501,698

BRINKS  
HOFER  
GILSON  
& LIONE

Examiner: Not Assigned

Art Unit: Not Assigned

Filed: July 16, 2004

For: METHOD FOR STABILIZING REDUCED COENZYME Q10 AND COMPOSITION THEREFOR

Attorney Docket No: 5404/81

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

### Attached is/are:

☒ Transmittal (in Duplicate); Response to Notification of Missing Requirements (in Duplicate); Copy of Notification of Missing Requirements; Declaration for Patent Application; Power of Attorney; Petition to Correct Inventor's Name Under 37 C.F.R. Section 1.182; Statement of Tadao Ono in Support of Petition to Correct Inventor's Name; Statement of Yasuo Yasutomi in Support of Petition to Correct Inventor's Name; Assignment Recordal; Assignment; and Checks for \$130 and \$40.

☒ Return Receipt Postcard

### Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

### Fee payment:

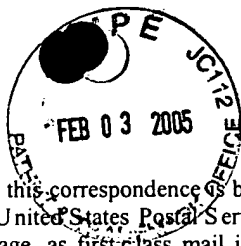
- ☒ Check(s) in the amount of \$40 and \$130 are enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

Jan. 31, 2005

Respectfully submitted

[Signature]  
Richard G. Lione (Reg. No. 19,795)



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Alexandria, VA 22313

on

Date of Deposit

*Jan. 31, 2005*

Richard G. Lione, Reg. No. 19,795

Name of applicant, assignee or  
Registered Representative

*Richard G. Lione*

Signature

*1-31-05*

Date of Signature

Case No. 5404/81

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Yasuyoshi Ueda et al.

Serial No.: 10/501,698

Filed: July 16, 2004

For: METHOD FOR  
STABILIZING  
REDUCED COENZYME  
Q10 AND  
COMPOSITION  
THEREFOR

Attention: Manager,  
Application Branch

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attn.:

Dear Sir:

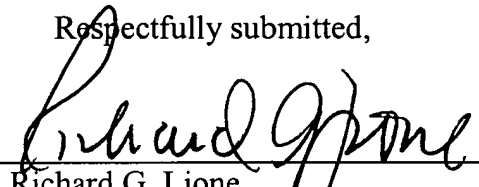
In accordance with the Notification of Missing Requirements of Application Filing Date Granted dated December 1, 2004, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$130 for:
- ☐ Filing Fee of \$\_\_\_\_.
- ☒ Surcharge of \$130.
- ☐ Additional Claim Fees of \$\_\_\_\_.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Petition to Correct Inventor's Name Under 37 C.F.R. Section 1.182; Statement of Tadao Ono in Support of Petition to Correct Inventor's Name; Statement of Yasuo Yasutomi in Support of Petition to Correct Inventor's Name; Assignment Recordal; Assignment; and Check for \$40.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated:

Jan. 31, 2005

  
Richard G. Lione  
Registration No. 19,795  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

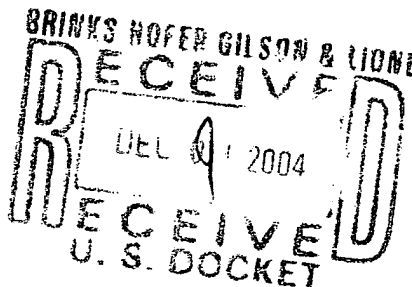


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/501,698	Yasuyoshi Ueda	5404/81

Brinks Hofer Gilson & Lione  
P O Box 10395  
Chicago, IL 60610



INTERNATIONAL APPLICATION NO.

PCT/JP03/00394

I.A. FILING DATE

01/20/2003

PRIORITY DATE

01/18/2002

CONFIRMATION NO. 2912

371 FORMALITIES LETTER



\*OC000000014626965\*

Date Mailed: 12/01/2004

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/16/2004
- Copy of the International Search Report filed on 07/16/2004
- Copy of IPE Report filed on 07/16/2004
- Preliminary Amendments filed on 07/16/2004
- Information Disclosure Statements filed on 07/16/2004
- Request for Immediate Examination filed on 07/16/2004
- U.S. Basic National Fees filed on 07/16/2004
- Priority Documents filed on 07/16/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE**

**DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PAULETTE R KIDWELL

Telephone: (703) 305-3656

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/501,698	PCT/JP03/00394	5404/81

FORM PCT/DO/EO/905 (371 Formalities Notice)